

# Midterm 2

Write your name and SID on the front of your blue book. All answers and work should also be written in your blue book. You must **JUSTIFY** your answers, so show your work. Partial credit will be awarded even if answers are incorrect. No notes, books, or calculators. Good luck!

1. (20 pts.) Let  $A = \begin{pmatrix} 1 & 3 & 3 \\ 6 & 4 & 6 \\ -3 & -3 & -5 \end{pmatrix}$ . The characteristic polynomial of  $A$  is

$$f(t) = -(t^3 - 12t - 16).$$

- a.(5 pts.) Factor  $f(t)$ .

SOLUTION:  $f(t) = -(t - 4)(t + 2)^2$ .

- b.(15 pts.) Find an invertible matrix  $Q$  and a diagonal matrix  $D$  such that  $D = Q^{-1}AQ$ . You do not need to compute  $Q^{-1}$ .

SOLUTION: One possible pair,  $(Q, D)$ , is  $Q = \begin{pmatrix} 1 & 1 & 1 \\ 2 & -1 & 0 \\ -1 & 0 & -1 \end{pmatrix}$  and  $D = \begin{pmatrix} 4 & 0 & 0 \\ 0 & -2 & 0 \\ 0 & 0 & -2 \end{pmatrix}$ .

2. (20 pts.)

- a.(7 pts.) Suppose the characteristic polynomial of  $A$  is  $f(t) = t^4 - 1$ . Use the Cayley-Hamilton Theorem to express  $A^{10} + A^8$  as a linear combination of  $I, A, A^2, A^3$ .

SOLUTION: BY the C-H Theorem,  $A^4 = I$ . Then  $A^{10} = (A^4)^2 A^2 = IA^2 = A^2$  and  $A^8 = I$ . Thus  $A^{10} + A^8 = A^2 + I$ . □

- b.(6 pts.) Suppose  $T$  has characteristic polynomial  $f(t) = (-1)^n [t^{n-2}(t - \lambda_2)(t - \lambda_3)]$ , where  $\lambda_2 \neq \lambda_3$  and  $\lambda_i \neq 0$ . Suppose further that  $\dim N(T) = n - 2$ . Is  $T$  diagonalizable? Justify your answer.

SOLUTION: Since  $N(T) = E_0$  we have  $\dim E_0 = n - 2$ . Since  $\lambda_i$  is an eigenvalue of multiplicity one for  $i \in \{2, 3\}$ , we must have  $\dim E_{\lambda_2} = \dim E_{\lambda_3} = 1$ . This means that  $\dim E_0 + \dim E_{\lambda_2} + \dim E_{\lambda_3} = (n - 2) + 1 + 1 = n$ . Thus  $T$  is diagonalizable. □

- c.(7 pts.) Suppose  $A \in M_{n \times n}(\mathbb{C})$  satisfies  $A^k = 0_{n \times n}$  for some  $k \geq 0$ . Show that  $A$  has exactly one eigenvalue. What is it? Prove that in fact  $A^n = 0_{n \times n}$ . (Note that the original  $k$  may have been larger than  $n$ .)

SOLUTION: Since  $A$  is a complex matrix, it is guaranteed to have at least one eigenvalue. Suppose  $Av = \lambda v$  with  $v \neq 0$ . Then  $A^k v = \lambda^k v$ , as we proved in a hw exercise. But  $A^k = 0_n$ . Thus  $0 = \lambda^k v$ . Since  $v \neq 0$ , we must have  $\lambda = 0$ . As  $A$  only has 0 as an eigenvalue, its characteristic polynomial is  $(-1)t^n$ . It now follows from the C-H Theorem that  $(-1)^n A^n = A^n = 0_n$ . □

3. (20 pts.) Let  $V$  be an  $n$ -dimensional space and suppose  $W_1, W_2 \subseteq V$  are two subspaces such that  $n = \dim W_1 + \dim W_2$ .

- a.(10 pts.) Prove that  $V = W_1 \oplus W_2$  if and only if  $W_1 \cap W_2 = \{0\}$ .

SOLUTION: The  $(\Rightarrow)$  direction follows simply from the definition of direct sum. Suppose  $W_1 \cap W_2 = \{0\}$ . Let  $\beta_1 = \{v_1, \dots, v_k\}$  and  $\beta_2 = \{v'_1, \dots, v'_{n-k}\}$  be bases for  $W_1$  and  $W_2$

respectively. Note that my indexing uses the fact that  $\sum \dim W_i = n$ . I claim  $\beta = \beta_1 \cup \beta_2$  is a basis, whence the result follows from Theorem 5.10. Since  $\beta$  contains  $n$  elements, it is enough to show it is linearly independent. Suppose  $\sum_{i=1}^k a_i v_i + \sum_{j=1}^{n-k} b_j v'_j = 0$ . Let  $w = \sum a_i v_i \in W_1$  and  $w' = \sum b_j v'_j \in W_2$ . Then  $w + w' = 0$  implies that  $w = -w' \in W_2$ . But then  $w \in W_1 \cap W_2 = \{0\}$ . Thus  $w = w' = 0$ . Since the  $\beta_i$  are bases, we must have  $a_i = b_j = 0$  for all  $i, j$ .  $\square$

b.(10 pts.) Now consider  $T \in \mathcal{L}(V)$ . Recall that  $N(T)$  and  $R(T)$  are  $T$ -invariant subspaces. Prove that  $V = N(T) \oplus R(T)$  if and only if  $T_{R(T)}$ , the restriction of  $T$  to  $R(T)$ , is invertible.

SOLUTION: Note first that  $\dim N(T) + \dim R(T) = n$  by the Dimension Theorem. Thus by part a.,  $V = N(T) \oplus R(T)$  if and only if  $N(T) \cap R(T) = \{0\}$ . This is true if and only if given  $v \in R(T)$ ,  $T(v) = 0 \Rightarrow v = 0$  if and only if  $T_{R(T)}$  is one-to-one if and only if  $T_{R(T)}$  is invertible.  $\square$