### University of California, Berkeley

CS 186 Intro to Database Systems, Fall 2012, Prof. Michael J. Franklin

#### **MIDTERM I - Questions**

This is a **closed book** examination – but you are allowed one 8.5" x 11" sheet of notes (double sided). You should answer as many questions as possible. Partial credit will be given where appropriate. There are 100 points in all. You should read **all** of the questions before starting the exam, as some of the questions are substantially more time-consuming than others.

Write all of your answers on the **SEPARATE ANSWER SHEET**. We will be grading only the answer sheets. You must put your CS 186 Class account on the answer sheet (Question 0).

#### **GOOD LUCK!!!!**

#### Question 1 – Sorting/Hashing [6 parts, 24 points total]

You have recently started a new company to help people sort their data. Currently, your servers have 4KB disk blocks, and have 800KB of memory available for sorting. Your pricing plan is as follows:

You charge \$1 for every I/O request that gets performed during sorting.

You do not charge to store data on disk!

You charge only for sorting (including the cost of writing your sorted output.)

- a) [3 points] Initially, your company only attracted only small users. Your first user wanted to sort a file that was 640KB in size. How much did your company earn?
- b) [3 points] As news of your company's awesome sorting service spreads, you begin attracting larger and larger customers. Your next customer had a huge file of 1200 KB. How much did you earn?
- c) [5 points] Using the optimization of tournament sort/heapsort which produces sorted runs of average size 2B in Pass 0, how much would you earn with the 1200KB file?
- d) [5 points] Mr. X wants the biggest bang for his buck. What is the size in KB of the biggest file he could sort for \$100,000 using the original sorting algorithm (runs of size B in Pass 0)?

UC Berkeley now decides to use your service. The Chancellor of Berkeley wishes to know the distribution of the hometowns of all the students. Since he doesn't care about any form of ordering, Berkeley decides to hash the students into groups.

Each student tuple consists of (SID, name, gpa, major, hometown, address, photo). Due to the extra fields, the size of each student tuple is about 10KB. The number of students in Berkeley is 50,000. Being your alma matter, you give them a special server that has 100KB disk blocks and 101 buffer (memory) pages that can hold 100KB of data each.

- e) [4 points] How many times do we have to run the **Partitioning** stage of hashing to hash the students, assuming all the partitions end up being the same length?
- f) [4 points] What will be the size in KB of the average partition at the start of the ReHash stage?

# Question 2 – Schema Refinement and Normalization [6 parts, 22 points total]

Consider the relation R with attributes A B C D E F and with the functional dependencies:  $\{A \rightarrow BF, B \rightarrow F, CD \rightarrow E, DE \rightarrow F\}$ 

- a) [4 points] Give the attribute closure of CD, also written as CD<sup>+</sup>. (In other words, given just CD, what can we derive?)
- b) [4 points] There exists a single candidate key (i.e., minimal superkey) for R. What is it?
- c) [3 points] Consider the decomposition of relation R into tables: ABF, BF, CDE, DEF. For each of the following, indicate on the answer sheet if it is True or False.
  - i. All relations in the decomposition are in BCNF.
  - ii. The decomposition is Lossless Join.
  - iii The decomposition is dependency preserving.
- **d)** [3 **points**] Consider the decomposition of relation R into tables: ACE, BDF. For each of the following, indicate on the answer sheet if it is True or False.
  - i. All relations in the decomposition are in BCNF.
  - ii. The decomposition is Lossless Join.
  - iii The decomposition is dependency preserving.
- e) [4 points] Consider the decomposition of relation R into two tables: ABC, DEF. Which dependency or dependencies causes this decomposition to violate BCNF? (0 or more answers may be correct)
  - (A)  $A \rightarrow BF$
  - (B)  $B \rightarrow F$
  - (C)  $CD \rightarrow E$
  - (D)  $DE \rightarrow F$
- f) [4 points] Perform a BCNF decomposition, considering the functional dependencies as shown from left to right. Show the final decomposed schema on the answer sheet.

#### Question 3 – SQL [5 parts, 21 points total]

You and your best friend decide to start a new social site for cute dogs! Your new service, aww-or-not.com, is a new crowd-sourced dog cuteness rating system for users to rate cute dogs and meet new dog owners! Users can signup their cute dogs, and then can start rating how cute a dog is on a scale from 1 to 10.

You are in charge of implementing the prototype, so you start off with creating some database tables.

```
/* Table of users. */
CREATE TABLE Users (
    user_id INTEGER NOT NULL,
    username TEXT NOT NULL,
    email VARCHAR(90)NOT NULL,
    PRIMARY KEY (user_id),
    UNIQUE KEY (email)

/* Dogs. Each has a single owner. */
CREATE TABLE Dogs (
    dog_id INTEGER NOT NULL,
    owner INTEGER NOT NULL,
    color TEXT NOT NULL,
    name TEXT NOT NULL,
    breed TEXT,
                                                            age INTEGER,
);
                                                          PRIMARY KEY (dog id),
                                                          FOREIGN KEY (owner)
                                                                   REFERENCES Users
                                                      );
/* Table of user ratings of cuteness for dogs.
num awwws is an integer from 1 to 10. */
CREATE TABLE Awwws (
       voter INTEGER NOT NULL,
       dog INTEGER NOT NULL,
       num awwws INTEGER NOT NULL,
    PRIMARY KEY (voter, dog),
    FOREIGN KEY (voter) REFERENCES Users,
    FOREIGN KEY (dog) REFERENCES Dogs
)
```

- a) [4 points] To show how diverse your service is, you want to display all the unique colors of dogs that are signed up on your website. On the answer sheet list ALL the queries that are guaranteed to return all the dog colors with no duplicates (One or more may be correct)
  - (A) SELECT DISTINCT color FROM Awwws, Dogs WHERE dog = dog\_id;
  - (B) SELECT color FROM Dogs;
  - (C) SELECT DISTINCT color FROM Dogs;
  - (D) SELECT DISTINCT color FROM Users, Dogs, Awwws WHERE user\_id = owner AND user\_id = voter AND dog = dog\_id;
  - (E) SELECT color FROM Awwws, Dogs;

#### **Question 3 – SQL (continued)**

- b) [4 points] You also want to view which dogs have received a cuteness rating of 10 (maximum cuteness). Which query will return all dog names and owner names of all the dogs that have received at least one maximum cuteness rating of 10? On the answer sheet list the query of your choice (one answer is correct).
  - (A) SELECT name AS dog\_name, owner FROM Awwws, Dogs WHERE dog = dog\_id AND num\_awwws = 10;
  - (B) SELECT name AS dog\_name, username AS owner\_name FROM Awwws, Dogs, Users WHERE owner = user\_id AND dog = dog\_id AND num\_awwws > 9;
  - (C) SELECT name AS dog\_name, username FROM Awwws, Dogs, Users WHERE owner = user\_id AND num\_awwws = 10;
  - (D) SELECT name, num\_awwws FROM Awwws, Dogs WHERE dog = dog\_id;
  - (E) SELECT name, username FROM Users, Awwws, Dogs WHERE dog = dog\_id AND num\_awwws = 10;
- c) [4 points] You love bulldogs, so you want all bulldog owners to be more engaged with your site. You want to find all the bulldog owners who have not rated any dogs, so you can notify them to visit the site. Which queries will return the **emails** of the bulldog owners who have not rated any cute dogs? On the answer sheet (NOT HERE) mark the letters for ALL the queries that are guaranteed to return the emails (Zero, one or more may be correct)
  - (A) SELECT email FROM Dogs, Awwws, UsersWHERE breed = "bulldog" AND owner = user id AND user id != voter;
  - (B) SELECT email FROM Dogs, Awwws, Users WHERE breed = "bulldog" AND owner = user\_id AND dog\_id != dog;
  - (C) SELECT email FROM Users
    EXCEPT
    SELECT email FROM Users, Dogs, Awwws
    WHERE breed = "bulldog" AND owner = user\_id AND user\_id = voter
  - (D) SELECT email FROM Users, Dogs
    WHERE breed = "bulldog" AND owner = user\_id
    EXCEPT
    SELECT email FROM Awwws, Users
    WHERE user\_id = voter
  - (E) SELECT email FROM Users, Dogs, Awwws WHERE breed = "bulldog" AND user id = voter

#### **Question 3 – SQL (continued)**

d) [4 points] Consider the following query:

SELECT user\_id, dog, num\_awwws FROM Users, Dogs, Awwws WHERE user\_id = owner AND dog = dog\_id EXCEPT ALL SELECT user\_id, dog, num\_awwws FROM Awwws, Users, Dogs WHERE num\_awwws <= 5 AND user\_id = owner AND dog = dog\_id

Which ONE of the following could be a valid result set for this query?

(A)	(B)	(C)	(D)	(E)
(1, 1, 6)	(1, 1, 6)	(1, 1, 5)	(1, 1, 1)	(1, 2, 5)
(1, 1, 7)	(2, 1, 7)	(1, 2, 5)	(2, 3, NULL)	(1, 3, 6)
(1, 1, 8)	(3, 4, 8)	(1, 2, 5)	(3, 4, 10)	(1, 4, 7)
(1, 1, 9)	(4, 10, 9)	(1, 2, 5)	(4, 10, 5)	(1, 5, 8)

e) [5 points] Say that the Users table has U rows, the Dogs table has D rows and the Awwws table has A rows, and U > 1, D > 1 and A > 1. How many rows would the following query have in its result set? (Note: all the foreign key constraints are enforced by the database)

SELECT \* FROM Users, Dogs WHERE user\_id = owner;

On the answer sheet (NOT HERE), choose ONE of the following:

(A) U + D (B) U \* D (C) More than U \* D (D) D (E) U

## **Question 4 – Relational Algebra [2 parts, 10 points total]**

- a) [5 **points**] Consider two relations R(A, B) and S(B, C). Which one of the following relational algebra expressions is not equivalent to the others? Note that **T** eliminates duplicates.
  - (A)  $\Pi_{R.A.R.B}$  (R  $\bowtie$  S)
  - (B)  $R \bowtie \Pi_{S.B}$  (S)
  - (C)  $R \cap (\pi_A(R) \times \pi_B(S))$
  - (D) None, they are all equivalent.
- b) [5 points] Friend Recommendation. Using the schema from Question 3, we would like to suggest user A to friend user B if B has voted a 10 to any of A's dogs. To be concrete, we need a query that lists all the (user\_A, user\_B)-pairs if user\_B has voted 10 to any dog of user A, where user\_A and user\_B are user ids. Your website is getting a ton of registered users, so we are not only concerned with result correctness but also query efficiency. Which one of the following joins do you think is sufficient for this query while involving the fewest tables possible?
  - (A) Awws ⋈ Awws
  - (B) Dog ⋈ Awws
  - (C) Users ⋈ Awws
  - (D) Users ⋈ Users ⋈ Awws
  - (E) Users ⋈ Dogs ⋈ Awws

#### Question 5 – Conceptual Design/ER [5 parts, 22 points total]

NOTE: For the SQL statements in this question we will not be checking the TYPEs BeMAD! – The Berkeley Movie Aficionado Database

You have been hired for a brand-new startup called BeMAD! The idea is to allow users to rate movies they like and pick out movies that they can go and watch with their friends. As a database guru, your first task is to design a database schema that can store all the data. The first task you have is to store data about movies and actors. The requirements are:

- i) For every movie, we want to store its title, the lead actor that stars in it, the year it was released and the genre of the movie.
- ii) In addition we want to store every actor's name, birthday and a photo.
- iii) We wish to ensure that no two movies released in the same year have the same title and that no two actors have the same name to prevent our users from getting confused!
- iv). For every leading role that an actor plays, we wish to know the name of the character they played.
- v) Finally, every movie has EXACTLY ONE lead actor, but actors can star in 0, 1 or more movies.
- a) [5 points] In the ER diagram on the answer sheet underline the primary keys and connect the given entity and relationship sets using the appropriate line and/or arrow. If bolding a line/arrow, be sure to clearly make it bold.
- b) [4 points] Complete the SQL statements given in the answer sheet which will create the table for the combined entity/relationship set in our ER diagram.
- c) [5 points] Let's add users! As the next step in your database design, you wish track users and their friends. In the ER diagram given in the answer sheet, connect the relations for the Friends relationship set.
- d) [4 points] Now, complete the SQL statement on the answer sheet to create a table for the same.
- e) [4 points] If you wished to allow users to rate movies and to store those ratings, which of the following statements are true? (Note: more than more may be correct!)
  - (A) Add a new attribute 'rating' to each movie
  - (B) Add a new relation between users and movies 'rates' with an attribute for the rating
  - (C) Add a new entity set ratings to store the rating and connect it to movies, users with a ternary relation
  - (D) None of the above