University of California, Berkeley College of Engineering Computer Science Division — EECS

Fall 2009

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Midterm I

SOLUTIONS October 19th, 2009

CS162: Operating Systems and Systems Programming

Your Name:	
SID Number:	
Circle the letters of CS162 Login	First: abcdefghIjklmnopqrstuvwxyz Second: abcdefghIjklmnopqrstuvwxyz
Discussion Section:	

General Information:

This is a **closed book** exam. You are allowed 2 pages of notes (both sides). You may use a calculator. You have 3 hours to complete as much of the exam as possible. Make sure to read all of the questions first, as some of the questions are substantially more time consuming.

Write all of your answers directly on this paper. *Make your answers as concise as possible*. On programming questions, we will be looking for performance as well as correctness, so think through your answers carefully. If there is something about the questions that you believe is open to interpretation, please ask us about it!

Problem	Possible	Score
1	20	
2	18	
3	24	
4	20	
5	18	
Total	100	

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3.14159265358979323846264338327950288419716939937510582097494459230781640628620899

Problem 1: True/False [20 pts]

Please *EXPLAIN* your answer in TWO SENTENCES OR LESS (Answers longer than this may not get credit!). Also, answers without an explanation *GET NO CREDIT*.

Problem 1a[2pts]: Apple was the first company to develop mice and overlapping windows.

True / False Explain: Xerox Parc was the first to develop Mice and Windows

Problem 1b[2pts]: A direct mapped cache can sometimes have a higher hit rate than a fully associative cache with an LRU replacement policy (on the same reference pattern).

Explain: A pattern that repeatedly accesses (X+1) sequential memory addresses in a cache with X memory lines will exhibit a higher hit rate in a direct mapped cache than a fully associative cache with an LRU replacement policy.

Problem 1c[2pts]: Threads within the same process share the same heap and stack.

Explain: Each Thread must have its own stack – whether or not it shares a process with another thread.

Problem 1d[2pts]: A microkernel-style operating system uses multiple address spaces inside the operating system – with components such as the file system, network stack, and device drivers all running at user level.

(True) False Explain: By structuring the OS in this way, microkernels prevent different components from being able to crash one another. Example: Mach

Problem 1e[2pts]: An operating system that implements on-demand paging on a machine with software TLB miss handling (such as MIPS) must use an inverted page table.

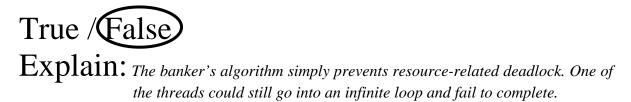
True (False)

True) False

True / False

Explain: A machine with a software TLB can utilize any format of page table, since the page table walk is handled in software.

Problem 1f[2pts]: If the banker's algorithm finds that it's safe to allocate a resource to an existing thread, then all threads will eventually complete.



Problem 1g[2pts]: The Nachos operating system uses Mesa-style condition variables for all synchronization.

True / Ealse Explain: Nachos uses semaphores and interrupt enable/disable for some things.

Problem 1h[2pts]: The lottery scheduler prevents CPU starvation by assigning at least one ticket to each scheduled thread.

True False Explain: By assigning at least one ticket to every thread, the lottery scheduler makes sure that every thread gets at least some CPU time.

Problem 1i[2pts]: Multicore chips (i.e. processor chips with more than one CPU on them) are only here for the short term (next few years) until the transistor feature size reaches 10nm.



True **)** False

Explain: The issues that caused manufacturers to switch to multicore (too much power dissipation, insufficient instruction-level parallelism) will not go away anytime soon.

Problem 1j[2pts]: Thread pools are a useful tool to help prevent the "Slashdot" effect from crashing Web servers.

xplain: Utilizing one thread/request in a web server is lower overhead than utilizing one process/request. By utilizing thread pools, a web server limits the total number of threads executing at any given time, thus preventing an overload (Slashdot effect) from exhausting resources by launching an unbounded number of threads.

Problem 2: Synchronization [18 pts]

Problem 2a[3pts]: Consider a Hash table with the following interface:

```
    public class HashTable {
    public void Put(int Key, int Value) {}
    public int Get(int Key) {} // Return 0 if no previous Put() on Key
    public void Remove(int Key) {} // No-op if no previous Put() on Key
    }
```

Assume that Get() must return the valid Value for any Key that has been written by previous Put() methods. If a Put() method on a given Key is happening at the same time as a Get() method, then the Get() method may return an earlier Value. In our attempt to make the HashTable threadsafe (i.e. usable by multiple threads at the same time), we might decided to make all three methods "synchronized" methods (e.g. Java synchronized statements). Would this have negative performance implications? Explain carefully (fully justify your answer; if the answer is "yes", explain why you think you could get better threadsafe performance. If the answer is "no", explain why this is the best threadsafe performance you could expect):

Yes. Hash tables are arranged as a series of buckets with linked lists of Key/Value pairs in each bucket. Thus, at minimum, each hash bucket could be handled independently—even allowing simultaneous writes to different hash buckets. A better solution would use a lock-free queue implementation to insert new items at the beginning of hash buckets. In this implementation, readers and writers to the same hash bucket would be able to proceed in parallel. The only snag would be handling "Remove". Although you could do this in the lock-free fashion as well, it is tricky. Simply storing a "0" in the link for the removed Key will have the correct external behavior.

Problem 2b[2pts]: Explain the difference in behavior between Semaphore.V() and CondVar.signal() when no threads are waiting in the corresponding semaphore or condition variable:

Semaphore.V() will increment the semaphore. Consequently, a subsequent Semaphore.P() will not wait. In contrast, CondVar.signal() does nothing if no threads are waiting. Thus a subsequent CondVar.wait() will always wait.

Problem 2c[3pts]: Explain how **Nachos** is able to implement the correct semantics for CondVar.signal() using Semaphore.V(). Be explicit and make sure to explain why the different of (2b) is not an issue here.

Nachos implements each condition variable as a queue of semaphores, each of which holds a single sleeping thread. Consequently, executing CondVar.signal() when no threads are sleeping does nothing, since it encounters an empty queue. When something is sleeping in the condition variable, CondVar.signal() extracts a single semaphore, then executes a single Semaphore.V() to wake up one thread.

Problem 2d[2pts]: Give two reasons why this is a bad implementation for a lock:

lock.acquire() { disable interrupts; }
lock.release() { enable interrupts; }

There are a number of reasons why this is a bad implementation for a lock. (1) It prevents hardware events from occurring during the critical section, (2) User programs cannot use this lock, (3) It doesn't work for data shared between different processors.

}

Problem 2e[8pts]: Suppose that we want a finite synchronized FIFO queue that can handle multiple simultaneous enqueue() and dequeue() operations. Assume that enqueue() and dequeue() are not allowed to busywait (but rather must sleep) when the queue is either full or empty respectively. Here is a sketch of an implementation utilizing a circular buffer:

```
1. static final int OUEUESIZE=100;
 2. class FIFOQueue {
     Lock FIFOLock=new Lock(); // Methods acquire() and release()
 3.
 4.
      CondVar CV1=new CondVar(FIFOLock);// Methods wait(),signal(),broadcast()
 5. CondVar CV2=new CondVar(FIFOLock);// Methods wait(),signal(),broadcast()
 6. Object FIFO[QUEUESIZE]; // Finite circular queue of Objects
 7.
     int head = 0, tail = 0; // Start out "empty"
 8.
     void Enqueue(Object newobject) {
 9.
       /* Enqueue Method. Spin until can enqueue */
10.
11.
      }
12.
     Object Dequeue()
                       {
13.
      /* Dequeue Method. Spin until can dequeue */
      }
14.
15. }
```

Implement the Enqueue() and Dequeue() methods using monitor synchronization. You should enqueue using the tail variable and dequeue at the head. Remember that spin waiting is not allowed. You should have no more than 10 lines for each method. *Hint: make sure to account for wrapping of head and tail pointers and assume Mesa scheduling of the monitors.*

```
void Enqueue(Object newobject) {
   // ANSWER: Here is one implementation:
   FIFOLock.acquire();
   // While full (cannot add entry to queue), wait
   while ((tail+1)%QUEUESIZE == head)
       CV1.wait();
   Life[Lall] = newobject; // Add item to queue
tail = (tail+1)%QUEUESIZE; // Expose item to consumer
CV2.signal(); // Waka i
                                         // Wake a sleeping consumers
   FIFOLock.release();
}
Object Dequeue() {
   // ANSWER: Here is one implementation:
   Object result;
   FIFOLock.acquire();
   // While empty (cannot get entry from queue), wait
   while (head==tail)
       CV2.wait();
   CV2.Wall(/,
result = FIFO[head]; // Get item trom que
head = (head+1)%QUEUESIZE; // Free up its slot
// Wake a sleeping p
                                         // Get item from queue
                                          // Wake a sleeping producer
   FIFOLock.release();
   return result;
```

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Problem 3: Deadlock and the Cephalopod Banquet [24pts] Problem 3a[4pts]: Name and explain the four conditions for deadlock:

- *Mutual exclusion Only one thread at a time can hold a given resource*
- Hold and Wait Thread holding at least one resource is waiting for another one
- No Preemption Resources are released only voluntarily by the thread holding the resource, after thread is finished with it
- Circular Wait There exists a set {T1, ..., Tn} of waiting threads, with T1 waiting for a resource held by T2, T2 waiting for a resource held by T3, ..., Tn waiting for a resource held by T1

Problem 3b[2pts]: Suppose that we utilize the Banker's algorithm to determine whether or not to grant resource requests to threads. The job of the Banker's algorithm is to keep the system in a "SAFE" state. It denies resource requests by putting the requesting thread to sleep if granting the request would cause the system to enter an "UNSAFE" state, waking it only when the request could be granted safely. What is a SAFE state?

In a safe state, there is some ordering of the threads in the system such that threads can complete, one after another without deadlocking and without requiring threads to give up resources that they already have.

Problem 3c[3pts]: Explain how the Banker's algorithm prevents deadlock by removing one or more of the conditions of deadlock from (3a). Be explicit.

This question is a bit of a trick question. The four conditions of deadlock from (3a) are necessary but not sufficient. Thus, while the four conditions will continue to exist individually, the Banker's algorithm avoids exactly those configurations of the resource graph that exhibit non-resolvable cycles consisting of all four of the deadlock conditions from (3a).

Those of you that said that the Banker's algorithm will eliminate "circular waiting" or "hold and wait" did not get full credit, since threads may still be put to sleep (by Banker's algorithm) while holding resources; since they will be woken up by the Banker's algorithm when other threads finally release their resources, the sleeping threads are effectively waiting on these other threads. However, we did give credit to people who said that the Banker's algorithm prevents indefinite circular waiting or hold and wait (or some variant of this statement that mentions the fact that the conditions do not persist indefinitely). **Problem 3d[4pts]:** Suppose that we wish to evaluate the current state of the system and declare whether or not it is in a SAFE state. In order to do this, we will need to keep explicit track of the resources in the system. In particular, if there were only two types of resource, we could describe the state of the system with the following data structures:

```
class FreeResources {
    int FreeResA, FreeResB; // Number of copies of resource that are free
}
/* Per-thread descriptor of thread resources */
class ThreadResources {
    int MaxNeededA, MaxNeededB; // Max number copies of resource needed
    int CurHeldA, CurHeldB; // Current number resources held
}
ThreadResources[] ThreadRes;
```

Assume that FreeRes and ThreadRes have been initialized to reflect the current state of the system. Here is a sketch for how we could check for safety:

```
1. boolean IsSAFE(FreeResources FreeRes, ThreadResources[] ThreadRes) {
 2.
       Int FreeA = FreeRes.FreeResA, FreeB = FreeRes.FreeResB;
 3.
       boolean[] ThreadFinished = new boolean[ThreadRes.length];
       int RemainingThreads = ThreadRes.length;
 4.
 5.
       boolean finished = false;
       while (!finished) {
 6.
 7.
         finished = true;
8.
          for (int i = 0; i < ThreadRes.length; i++) {</pre>
9.
             if (!ThreadFinished[i]) {
10.
             /* Missing Code */
11.
             }
12.
          }
13.
       }
14.
       return (RemainingThreads == 0); /* SAFE if no threads left */
15. }
```

Provide the missing Code at line 10. This code should have no more than 8 lines and should not alter the external variables (arguments). *Hint: work through the threads that can complete.*

```
Code for Line 10:
```

```
if ((ThreadRes[i].MaxNeededA - ThreadRes[i].CurHeldA) <= FreeA)&&
    (ThreadRes[i].MaxNeededB - ThreadRes[i].CurHeldB) <= FreeB)) {
    ThreadFinished[i] = true;
    finished = false;
    FreeA += ThreadRes[i].CurHeldA;
    FreeB += ThreadRes[i].CurHeldB;
    RemainingThreads -= 1;
}</pre>
```

The Cephalopod Diners Problem: Consider a large table with *identical* multi-even-armed cephalopods (e.g. octopuses). *In the center is a pile of forks and knives.* Before eating, each diner must have an equal number of forks and knives, one in each arm (e.g. if octopuses are eating, they would each need four forks and four knives). The creatures are so busy talking that they can only grab one utensil at a time. They also grab utensils in a random order until they have enough utensils to eat. After they finish eating, they return all of their utensils at once. Diners are implemented as threads that ask for utensils and return them when finished. Consider the following sketch for a CephTable class to implement the Cephalopod Diners problem using *monitor synchronization:*

```
1. class DinerUtensils {
 2.
       public int forks,knives;
                                        // utensils held by creature
 3. }
                                        // clearly forks+Knives <= NumArms</pre>
 4. public class CephTable {
 5.
       Lock lock = new Lock();
                                        // acquire(), release()
 6.
       CondVar CV = new CondVar(lock);//wait(),signal(),broadcast();
       public DinerUtensil[] Diners; // Accounting: utensils for each diner
 7.
 8.
       int NumArms;
                                        // Number of arms for every diner
9.
       int IdleForks, IdleKnives;
                                        // Number of forks/knives on table
10.
11.
       public CephTable(int NumDiners, int NumArms, int Forks, int Knives){
12.
          Diners = new DinerUtensils[NumDiners]; // info about each Diner
13.
          This.NumArms = NumArms;
                                       // Number of arms per Diner
14.
          This.IdleForks = Forks;
                                        // Number Forks on table initially
          This.IdleKnives = Knives; // Number Knives on table initially
15.
16.
       }
17.
       public void GrabUtensil(int CephalopodID, boolean WantFork) {
          /* Try to grab a utensil from table */
18.
       }
19.
       public void DoneEating(int CephalopodID) {
20.
          /* Return all chopsticks to pile */
21.
22.
          lock.acquire();
          IdleForks += Diners[CephalopodID].forks;
23.
          IdleKnives += Diners[CephalopodID].knives;
24.
25.
          Diners[CephalopodID].forks = 0;
          Diners[CephalopodID].knives = 0;
26.
27.
          CV.broadcast();
          lock.release();
28.
29.
       }
30.
       boolean CephCheck(int CephalopodID, int numforks, int numknives) {
31.
          /* See if ok to give dinner numforks forks and numknives knives. */
       }
32.
33. }
```

Problem 3e[3pts]: In its general form, the Banker's algorithm makes a decision about whether or not to allow an allocation request by making multiple passes through the set of resource holders (threads). See, for instance, the fact that there are two loops in (3d) to determine safety. Explain why a Banker's algorithm dedicated to the Cephalopod Diners problem, namely the CephCheck() routine, could operate with a single pass through the resources holders:

Since every diner (Cephalopod) at a given table has an identical number of arms (and thus resource requirements), once the Banker's algorithm has determined that a single Cephalopod can complete, then we know that they all can complete [simply put all of its resources back on the table, then there will be enough on the table for anyone to complete]. Thus, we need only a single pass through the diners to see if any of them can complete.

Problem 3f[4pts]: Implement the CephCheck method of the CephTable Object, namely fill in code for line 31 above. This method should implement the Banker's algorithm: return true if the given Cephalopod can be granted 'numforks' forks and 'numknives' knives without taking the system out of a SAFE state. Do not blindly implement the Banker's algorithm: this method only needs to have the same external behavior as the Banker's algorithm for this application. Note that this method is part of the CephTable Object and thus has access to local variables of that object. *This code should not permanently alter the local variables of the CephTable Object (although it can do so temporarily).* Do not worry about making this routing threadsafe; it will be called with a lock held. We will give full credit for a solution that takes a single pass through the diners, partial credit for a working solution, and no credit for a solution with more than 15 lines. *Hint: it is easier to first check the requesting Cephalopod, then the rest.*

Code for Line 31:

```
// First, check to see if we are asking for more utensils than on table.
// Note: the loop at the bottom covers this check; however, we only gave
// credit for omitting this check if you specifically mentioned how it works
if (numforks > IdleForks || numknives > IdleKnives)
return false;
// Next check if current requesting Cephalopod can complete
if ((NumArms/2 - Diners[CephalopodID].forks) <= IdleForks) &&
(NumArms/2 - Diners[CephalopodID].knives) <= IdleKnives))
return true;
//Check every one else (wrong for current requester, but handled above)
for (int loop = 0; loop < Diners.length; loop++)
if ((NumArms/2 - Diners[loop].forks) <= IdleForks - numforks) &&
(NumArms/2 - Diners[loop].knives) <= IdleKnives - numknives))
return true;
return true;
```

Problem 3g[4pts]: Implement the code for the GrabUtensil() routine, namely fill in code for line 18 above. Its behavior is that it should check to whether or not it is ok to grant the requested type of utensil to the caller and if not, sleep until it is ok. This code should call the CephCheck() routine as a subroutine and should be *threadsafe* namely, it should be able to deal with multiple threads accessing the state simultaneously. You should implement this routine as a monitor and assume Mesa scheduling. It is up to the Cephalopod to eat and subsequently call DoneEating(); you should not do that in GrabUtensil(). This routine can be written in 8 lines, but you can use up to 12:

Code for Line 18:

```
// Here is a 10 line version. Get 8 line version by inlining expressions
// for numforks and numknives
int numforks = (WantFork?1:0);
int numknives = (WantFork?0:1);
lock.acquire()
while (CephCheck(CephalopodID, numforks, numknives))
        CV.wait();
IdleForks -= numforks;
IdleKnives -= numknives;
Diners[CephalopodID].forks += numforks;
Diners[CephalopodID].knives += numknives;
lock.release();
```

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Problem 4: Virtual Memory [20 pts]

Consider a multi-level memory management scheme with the following format for virtual addresses:

Virtual Page #	Virtual Page #	Offset
(10 bits)	(10 bits)	(12 bits)

Virtual addresses are translated into physical addresses of the following form:

Physical Page #	Offset
(20 bits)	(12 bits)

Page table entries (PTE) are 32 bits in the following format, *stored in big-endian form* in memory (i.e. the MSB is first byte in memory):

Physical Page # (20 bits)	OS Defined (3 bits)	0	Large Page	Dirty	Accessed	Nocache	Write Through	User	Writeable	Valid	
------------------------------	---------------------------	---	---------------	-------	----------	---------	------------------	------	-----------	-------	--

Here, "Valid" means that a translation is valid, "Writeable" means that the page is writeable, "User" means that the page is accessible by the User (rather than only by the Kernel). *Note: the phrase "page table" in the following questions means the multi-level data structure that maps virtual addresses to physical addresses.*

Problem 4a[2pts]: How big is a page? Explain.

Since the offset is 12 bits, then a page is 2^{12} =4096 bytes. You had to show an actual calculation and mention the offset to get full credit.

Problem 4b[2pts]: Suppose that we want an address space with one physical page at the top of the address space and one physical page at the bottom of the address space. How big would the page table be (in bytes)? Explain.

The page table of interest has two non-null pointers for the first level, pointing at 2 second-level elements of the page table. Each element is a page in size. Thus, there are $3 \text{ pages} = 3 \times 4096 = 12288$

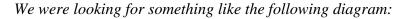
Problem 4c[2pts]: What is the maximum size of a page table (in bytes) for this scheme? Explain.

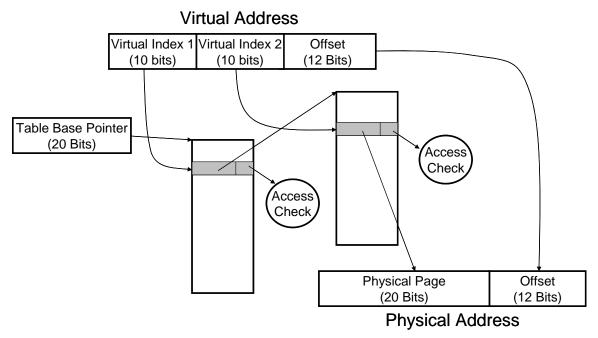
The maximum page table size has an entry for every virtual address. Thus -- all pointers non-null at the top level, each of which points at a second-level element of the page table. Thus, the total size of the page table has 1 + 1024 = 1025 page table elements $= 1025 \times 4096 = 4198400$ bytes.

Problem 4d[2pts]: How big would each entry of a fully-associative TLB be for this management scheme? Explain.

Each entry of a fully-associative cache needs enough storage for (1) the cache tag and (2) the valid bit. The tag for a TLB is the virtual page number, which is 20 bits. A valid bit is 1 bit. Thus, the simplest correct answer for this question is 21 + 32 (size of PTE) = 53 bits. A slightly more sophisticated answer would recognize that there are 4 bits in the PTE that are not needed by the hardware (bits 8-11). Thus, one could say that there are 21 + 28 = 49 bits.

Problem 4e[2pts]: Sketch the format of the page-table for the multi-level virtual memory management scheme of (4a). Illustrate the process of resolving an address as well as possible.





Problem 4f[10pts]: Assume the memory translation scheme from (4a). Use the Physical Memory table given on the next page to predict what will happen with the following load/store instructions. Assume that the base table pointer for the current *user level process* is 0×00200000 .

Addresses are virtual. The return value for a load is an 8-bit data value or an error, while the return value for a store is either "**ok**" or an error. Possible errors are: **invalid**, **read-only**, **kernel-only**. *Hint: Don't forget that Hexidecimal digits contain 4 bits!*

Instruction	Result
Load [0x00001047]	0x50
Store [0x00C07665]	ok
Store	ERROR:
[0x00C005FF]	read-only
Load [0x00003012]	ANS: 0x84

Instruction	Result
Store [0x02001345]	ANS: Ok
Load	ANS: ERROR:
[0xFF80078F]	Invalid
Load	ANS: 0x66
[0xFFFFF005]	ANS: UX00
Test-And-Set	ANS: ERROR:
[0xFFFFF006]	Read-only

•••

		P	hysic	al M	emor	y [Al	l Val	ues a	re in	Hexi	decir	nalj				
Address	+0	+1	+2	+3	+4	+5	+6	+7	+8	+9	+A	+B	+C	+D	+E	+F
00000000	0E	OF	10	11	12	13	14	15	16	17	18	19	1A	1B	1C	1D
00000010	1E	1F	20	21	22	23	24	25	26	27	28	29	2A	2B	2C	2D
•••• •																
00001010	40	41	42	43	44	45	46	47	48	49	4A	4B	4C	4D	4E	4F
00001020	40	03	41	01	30	01	31	03	00	03	00	00	00	00	00	00
00001030	00	11	22	33	44	55	66	77	88	99	AA	BB	CC	DD	ΕE	FF
00001040	10	01	11	03	31	03	13	00	14	01	15	03	16	01	17	00
00002030	10	01	11	00	12	03	67	03	11	03	00	00	00	00	00	00
00002040	02	20	03	30	04	40	05	50	01	60	03	70	08	80	09	90
00002050	10	00	31	01	10	03	31	01	12	03	30	00	10	00	10	01
00004000	30	00	31	01	11	01	33	03	34	01	35	00	43	38	32	79
00004010	50	28	84	19	71	69	39	93	75	10	58	20	97	49	44	59
00004020	23	03	20	03	00	01	62	08	99	86	28	03	48	25	34	21
00100000	00	00	10	65	00	00	20	67	00	00	30	00	00	00	40	07
00100010	00	00	50	03	00	00	00	00	00	00	00	00	00	00	00	00
00103000	11	22	00	05	55	66	77	88	99	AA	BB	CC	DD	ΕE	FF	00
00103010	22	33	44	55	66	77	88	99	AA	BB	CC	DD	ΕE	FF	00	67
001FE000	04	15	00	00	48	59	70	7B	8C	9D	AE	BF	D0	E1	F2	03
001FE010	10	15	00	67	10	15	10	67	10	15	20	67	10	15	30	67
	0.0	0.0	0.0	0.0	0.0	0.0	0.0	65	0.0	0.0	1.0		0.0	0.0	0.0	
001FF000	00	00	00	00	00	00	00	65	00	00	10	67	00	00	00	00
001FF010	00	00	20	67	00	00	30	67	00	00	40	65	00	00	50	07
	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1 0	0.0	0.0	C 7	0.0	1.0	20	
001FFFF0	00	00	00	00	00	00	00	00	10	00	00	67	00	10	30	65
	0.0	10	0.0	07	00	10	10	07	00	10	20	07	0.0	10	20	07
00200000	00		00	07		10	10			10	20		00	10	30	
00200010	00	10	40	07	00	10	50	07	00	10	60	07	00	10	70	07
00200020	00	10	00	07	00	00	00	00	00	00	00	00	00	00	00	00
 00200FF0	00	00	00	00	00	00	00	00	00	1F	ΕO	07	00	1F	FO	07
UUZUUFFU	00	00	00	00	00	00	00	00	00		ĿО	07	00	ТЪ	гU	07

Physical Memory [All Values are in Hexidecimal]

Problem 5: Scheduling [18pts]

Problem 5a[2pts]: Give two ways in which to predict runtime in order to approximate SRTF:

Two options that we gave in class were (1) use a predictive algorithm that uses past behavior to predict the future (such as a weighted average of the previous prediction and the previous value), (2) use a scheduling algorithm(such as a multi-level scheduler) that tries to separate short burst applications from long burst applications and schedules the short-burst applications with higher priority.

Problem 5b[2pts]: What scheduling problem did the original Mars rover experience? What were the consequences of this problem?

The original Mars rover exhibited a priority inversion problem: A low-priority task grabbed a lock on the bus; a high-priority task was forced to wait for the bus; further, a medium priority task prevented the low-priority task from completing. The result was that the high-priority task made no forward progress; eventually, a timer went off, decided something was wrong, and rebooted the system. Consequently, the system kept rebooting.

Problem 5c[3pts]:

Five jobs are waiting to be run. Their expected running times are 10, 8, 3, 1, and X. In what order should they be run to minimize average completion time? State the scheduling algorithm that should be used AND the order in which the jobs should be run. *HINT: Your answer will explicitly depend on X*.

To minimize average completion time, we need to use SRTF. The answer depends on X in the following way:

X, 1, 3, 8, 10 if X < 11, X, 3, 8, 10 if $1 \le X < 3$ 1, 3, X, 8, 10 if $3 \le X < 8$ 1, 3, 8, X, 10 if $8 \le X < 10$ 1, 3, 8, 10, X if $10 \le X$

Problem 5d[5pts]:

Here is a table of processes and their associated arrival and running times.

Process ID	Arrival Time	CPU Running Time
Process 1	0	2
Process 2	1	6
Process 3	4	1
Process 4	7	4
Process 5	8	3

Show the scheduling order for these processes under 3 policies: First Come First Serve (FCFS), Shortest-Remaining-Time-First (SRTF), Round-Robin (RR) with timeslice quantum = 1. Assume that context switch overhead is 0 and that new RR processes are added to the **head** of the queue and new FCFS processes are added to the **tail** of the queue.

Time Slot	FCFS	SRTF	RR
0	1	1	1
1	1	1	2
2	2	2	1
3	2	2	2
4	2	3	3
5	2	2	2
6	2	2	2
7	2	2	4
8	3	2	5
9	4	5	2
10	4	5	4
11	4	5	5
12	4	4	2
13	5	4	4
14	5	4	5
15	5	4	4

Problem 5e[3pts]: Can any of the three scheduling schemes (FCFS, SRTF, or RR) result in starvation? If so, how might you fix this?

Yes. SRTF continuously places short jobs in front of long jobs. Consequently, it is possible for long jobs to never get a chance to run.

You can fix this by utilizing an algorithm that guarantees that no thread is prevented from making forward progress. Example: use a lottery scheduler or a multi-level scheduler that gives a guaranteed minimum amount of compute time to the lowest-priority (background) tasks.

Note that FCFS and RR don't really experience starvation (although you might be able to make an argument that RR has a problem if you always put new jobs at the front of the queue and short jobs arrive faster than the quantum).

Problem 5f[3pts]: Explain why a chess program running against another program on the same machine might want to perform a lot of superfluous I/O operations.

By executing a lot of superfluous I/O, the chess program might be able to fool a scheduler into thinking that the chess program is an interactive task that should get more compute cycles. The net effect would be that the program performing the superfluous I/O might be able to get more "thinking" done per unit time that the one that didn't perform extra I/O.

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